

# DECLARATION AND POWER OF ATTORNEY

Atty. Dkt. No.: 5236-000237

## DECLARATION

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### Electrophysiology Catheter

the specification of which (check one)

- ☐ is attached hereto.  
or  
☒ was filed on April 23, 2001 as Application Serial No. or PCT International Application No. 09/840,311 and was amended on \_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)				
APPN. SERIAL NO.	COUNTRY	DATE FILED (MM/DD/YYYY)	PRIORITY CLAIM	
			Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

## DECLARATION AND POWER OF ATTORNEY

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

PRIOR PROVISIONAL APPLICATION(S)	
APPN. SERIAL NO.	DATE FILED (MM/DD/YYYY)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below:

PRIOR U.S. APPLICATION(S)		
APPN. SERIAL NO.	DATE FILED (MM/DD/YYYY)	STATUS - PATENTED, PENDING, ABANDONED
09/771,954	November 15, 2000	Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

### POWER OF ATTORNEY

I hereby appoint Bryan K. Wheelock, Reg. No. 31,441, Joseph E. Walsh, Jr., Reg. No. 36,959, Rudolph A. Telscher, Jr., Reg. No. 36,032, David M. Gryte, Reg. No. 41,809, Evan R. Sotiriou, Reg. No. 46,247, Elizabeth D. Odell, Reg. No. 39,532, Kelly K. Burris, Reg. No. 46,361 and Donald Holland, Reg. No. 35,197, of Harness, Dickey & Pierce, P.L.C., my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

### CORRESPONDENCE ADDRESS

I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Harness, Dickey & Pierce, P.L.C., 7700 Bonhomme, Suite 400, St. Louis, Missouri 63105 (314) 726-7500.

## DECLARATION AND POWER OF ATTORNEY

**Full name of sole or first inventor:** Garland L. Segner

Inventor's signature: Garland L. Segner

Date: 7-16-01

Residence: **Watertown, Minnesota**

Citizenship: **US**

Mailing Address: **7351 Kirkwood Lane N, Suite 116, Maple Grove, MN 55369**

**Full name of second joint inventor, if any:** Roger N. Hastings

Inventor's signature: Roger N. Hastings

Date: 7-16-01

Residence: **Maple Grove, Minnesota**

Citizenship: **U.S.**

Mailing Address: **7351 Kirkwood Lane N, Suite 116, Maple Grove, MN 55369**

**Full name of third joint inventor, if any:** Michael Eng

Inventor's signature: Michael Eng

Date: 7/17/01

Residence: **Shoreview, Minnesota**

Citizenship: **U.S.**

Mailing Address: **7351 Kirkwood Lane N, Suite 116, Maple Grove, MN 55369**



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 05, 2001

PTAS

HARNESS, DICKEY & PIERCE, P.L.C.  
BRYAN K. WHEELLOCK  
7700 BONHOMME, SUITE 400  
ST. LOUIS, MO 63105



\*101801868A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/30/2001

REEL/FRAME: 012034/0224  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SEGNER, GARLAND L.

DOC DATE: 07/16/2001

ASSIGNOR:

HASTINGS, ROGER N.

DOC DATE: 07/16/2001

ASSIGNOR:

ENG, MICHAEL

DOC DATE: 07/17/2001

ASSIGNEE:

STEREOTAXIS, INC.  
4041 FOREST PARK AVENUE  
ST. LOUIS, MISSOURI 63108

SERIAL NUMBER: 09840311

PATENT NUMBER:

FILING DATE: 04/23/2001

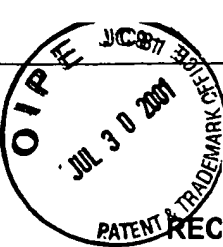
ISSUE DATE:

**R E C E I V E D**  
OCT 12 2001

HARNESS, DICKEY & PIERCE  
ST. LOUIS, MISSOURI

012034/0224 PAGE 2

DOROTHY BASMA, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



08-07-2001

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**



101801868

7-30-01

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

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☐ Resubmission (Non-Recordation)  
Document ID #   
☐ Correction of PTO Error  
Reel #  Frame #   
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Reel #  Frame #

**Conveyance Type**

- ☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
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(For Use ONLY by U.S. Government Agencies)  
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**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Second Party**

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Name

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

08/02/2001 BNGUYEN1 00000114 09840311

05 FC:581

40.00 00

**Correspondent Name and Address**

Area Code and Telephone Number

314-726-7500

Name Bryan K. Wheelock

Address (line 1) Harness, Dickey & Pierce, P.L.C.

Address (line 2) 7700 Bonhomme, Suite 400

Address (line 3) St. Louis, Missouri 63105

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments

#

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09/840,311

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number as not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

#

1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

40

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

08-0750

Authorization to charge additional fees:

Yes ☒

No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Bryan K. Wheelock

Name of Person Signing

Signature

24 JULY 2001

Date

FORM PTO-119A  
Expires 06/30/99  
OMB 0651-0012

**RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY**

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Michael Eng

Execution Date  
Month Day Year  
07 17 2001

Name (line 2)

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)





**ASSIGNMENT**

WHEREAS, We, Garland L. Segner of Watertown, Minnesota, Roger N. Hastings of Maple Grove, Minnesota and Michael Eng of Shoreview, Minnesota, have invented certain new and useful improvements for a *Electrophysiology Catheter* (hereinafter referred to as "Invention") for which an application for Letters Patent in the United States of America was filed on April 23, 2001, and assigned Serial No. 09/840,311; and

WHEREAS, we desire to assign all right, title and interest in said Invention, said application disclosing the Invention and any Letters Patent which may be granted therefor to Stereotaxis, Inc., a corporation of the State of Delaware, having its principal office and place of business at 4041 Forest Park Avenue, St. Louis, Missouri 63108 (hereinafter referred to as "Assignee"); and

WHEREAS, Assignee, is desirous of acquiring the entire right, title and interest in and to said Invention and improvements as disclosed in said application, in and to said application, and in and to any Letters Patent which may be granted therefor.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, we, Garland L. Segner, Roger N. Hastings and Michael Eng, have sold, assigned and transferred, and do hereby sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest in and to said Invention and improvements as the same are disclosed in said application, in and to said application, and in and to any Letters Patent, including any divisions, continuations, continuations-in-part, reissues, reexaminations, and extensions to be obtained thereof, in the United States, and countries foreign thereto, which may be granted for said Invention; and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto; and we further agree to cooperate with the Assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the Assignee may desire to apply for, but at the expense of said Assignee.

We hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Letters Patent to said Assignee, as Assignee of the whole right, title and interest thereto; and we further agree to execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-

in-part of said application for patent, and/or for obtaining any reissue or reissues of any Letters Patent which may be granted for our aforesaid Invention, as the Assignee, or its designees may require and prepare at its own expense; and execute all necessary and lawful future documents including assignments in favor of Assignee, or its designees, as Assignee or its designees may present to us in order to perfect title in said Invention, modifications, and improvements in said Invention, applications and Letters Patent of the United States and countries foreign thereto.

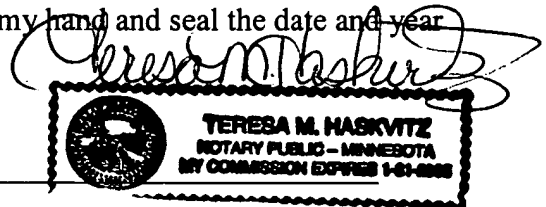
Garland L. Segner  
Garland L. Segner

STATE OF :

COUNTY OF :

On this 16 day of July, 2001 before me, a Notary Public, within and for the County of Hennepin, State of Minnesota, personally appeared Garland L. Segner, to me known to be the person described in and who acknowledged executing the forgoing instrument as a free act and deed, and who also represented that he/she is authorized to execute the same.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.



Notary Public

My Commission Expires: 1-31-05

Roger N. Hastings  
Roger N. Hastings

STATE OF :

COUNTY OF :

On this 16 day of July, 2001 before me, a Notary Public, within and for the County of Hennepin, State of Minnesota, personally appeared Roger N. Hastings, to me known to be the person described in and who acknowledged executing the forgoing instrument as a free act and deed, and who also represented that he/she is authorized to execute the same.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Notary Public

My Commission Expires: 1-31-05



Michael Eng  
Michael Eng

STATE OF

COUNTY OF

On this 17 day of July, 2001 before me, a Notary Public, within and for the County of Hennepin, State of Minnesota, personally appeared Michael Eng, to me known to be the person described in and who acknowledged executing the forgoing instrument as a free act and deed, and who also represented that he/she is authorized to execute the same.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Teresa M. Haskvitz  
Notary Public

My Commission Expires: 1-31-05

